Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Sheet of Attorney Docket Number

Y NAND - 2

U. S. PATENT DOCUMENTS								
Examiner Cite Initials* No.1		Document Number Number-Kind Code ^{2 (Finown)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	<u> </u>	^{US-} 5,456,986	10-10-1995	S. MAJETICH et al.				
		US-						
		US-						
		US-						
		US-	-					
		US-						
		US-						
		US-						
		US-			,			
		US-						
		US-						
		US-						
		US-	,					
		US-						
		US.						
		US-						
		US-						
		US-						
		US-						

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Nind Code ⁵ (<i>if known</i>)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear		
			MM-DD-YYYY			1	
	'						
•,							
,							
		,		· · · · · · · · · · · · · · · · · · ·		Г	

Examiner	,	Date	
Signature		Considered	
	1		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (04-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO		Compl te if Known			
Cabbilla	10 10 10 10 10 10 10 10 10 10 10 10 10 1		Application Number		
INF	ORMATION DI	SCLOSURE	Filing Date	7/1/2003	
STATEMENT BY APPLICANT		First Named Invent r	YINGJIAN CHEN		
			Art Unit		
	(Use as many sheets as	necessary)	Examiner Name		
Sheet	of		Attorney Docket Number	XYNANO-2	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		J.Li, et al, APPL. PHYS. LETT., VOL 75, P.367, 1999	
		W. CHOI et al., APPL. PHYS. LETT., VOL 79, P. 3696, 2001	
		PH. AVOURIS, et al., APPL. SURFACE SCIENCE, VOL. 141, P. 201, 1999.	
		K. LAFDI, et al., J. APPL. PHYS., VOL 79, P. 6007, 1996.	
		Q. ZHAN, et al., PHYS. REV. B. VOL 66, P. 134436, 2002.	
		P. G. COLLINS, et al., SCIENTIFIC AMERICAN, P. 62, DECEMBER 2000.	
	,		
			

Examiner	·	Date	
Signature		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. Tapplicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.